

Substitute for Form PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>018765-177</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>107506806</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/JP03/03705</b>	INTERNATIONAL FILING DATE <b>26 March 2003</b>	PRIORITY DATE CLAIMED <b>28 March 2002</b>	
TITLE OF INVENTION <b>PRESSURE SENSITIVE ADHESIVE FILM FOR PROTECTION OF SEMICONDUCTOR WAFER SURFACE AND METHOD OF PROTECTING SEMICONDUCTOR WAFER WITH THE PRESSURE SENSITIVE ADHESIVE FILM</b>			
APPLICANT(S) FOR DO/EO/US <b>Yoshihisa SAIMOTO, Makoto KATAOKA, Masafumi MIYAKAWA, Shinichi HAYAKAWA and Kouji IGARASHI</b>			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission to items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11 to 21 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: <u>Application Data Sheet, General Authorization for Petitions for Extension of Time and Payment of Fees, and Forms PCT/IB/304 and 308.</u></li> <li>21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>Mitsui Chemicals, Inc., Tokyo, Japan.</u></li> </ol>			

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/506806</b>	INTERNATIONAL APPLICATION NO. <b>PCT/JP03/03705</b>	ATTORNEY'S DOCKET NUMBER <b>018765-177</b>																								
<p>22. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . . \$1,080.00 (1611)</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . . \$920.00 (1613)</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$770.00 (1610)</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . . \$730.00 (1609)</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . . \$100.00 (1612)</p>		<b>CALCULATIONS PTO USE ONLY</b>																								
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$ 920.00</b>																								
<p>Surcharge of <b>\$130.00 (1617)</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		<input type="checkbox"/> 20 <input type="checkbox"/> 30																								
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>4</td> <td>-20 =</td> <td>0   x \$18.00 (1615)</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 =</td> <td>0   x \$86.00 (1614)</td> </tr> <tr> <td colspan="3"><b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b></td> <td>+ \$290.00 (1616)</td> </tr> <tr> <td colspan="3"></td> <td style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS</b></td> </tr> <tr> <td colspan="3"></td> <td style="text-align: right;"><b>\$ 920.00</b></td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	4	-20 =	0 x \$18.00 (1615)	Independent Claims	1	- 3 =	0 x \$86.00 (1614)	<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+ \$290.00 (1616)				<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$ 920.00</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																							
Total Claims	4	-20 =	0 x \$18.00 (1615)																							
Independent Claims	1	- 3 =	0 x \$86.00 (1614)																							
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>			+ \$290.00 (1616)																							
			<b>TOTAL OF ABOVE CALCULATIONS</b>																							
			<b>\$ 920.00</b>																							
<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p>		+  <b>SUBTOTAL =</b>																								
		<b>\$ 920.00</b>																								
<p>Processing fee of <b>\$130.00 (1618)</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		<input type="checkbox"/> 20 <input type="checkbox"/> 30																								
		<b>\$ 0.00</b>																								
		<b>TOTAL NATIONAL FEE =</b>																								
		<b>\$ 920.00</b>																								
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (8021)</b> per property +</p>		<b>\$ 40.00</b>																								
		<b>TOTAL FEES ENCLOSED =</b>																								
		<b>\$ 960.00</b>																								
		<b>Amount to be refunded :</b>																								
		<b>charged :</b>																								
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 960.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Charge _____ to credit card. Form PTO-2038 is attached.</p>																										
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																										
<p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Burns, Doane, Swecker &amp; Mathis, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620</b></p> <p>  <b>SIGNATURE</b>  <u>Robert G. Mukai</u>  <b>NAME</b>  <u>Robert G. Mukai</u>  <b>REGISTRATION NO.</b> <u>28,531</u>      <b>DATE</b> <u>September 7, 2004</u></p>																										

Rec'd PCT/PTO 07 SEP 2004

10/506806

Patent  
018765-177

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of**

Yoshihisa Saimoto et al.

## **Group Art Unit:**

**Application No.:**

**Examiner:**

**Filing Date:** September 7, 2004

**Confirmation No.:**

**Title: PRESSURE SENSITIVE ADHESIVE FILM FOR PROTECTION OF SEMICONDUCTOR WAFER SURFACE AND METHOD OF PROTECTING SEMICONDUCTOR WAFER WITH THE PRESSURE SENSITIVE ADHESIVE FILM**

**GENERAL AUTHORIZATION FOR PETITIONS  
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

**BURNS, DOANE, SWECKER & MATHIS, L.L.P.**

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

By

Page 1 of 1

**Robert G. Mukai**  
**Registration No. 28.53**

Date: September 7, 2004